

DISTRIBUTIONS GENERATED BY BOUNDARY VALUES
OF FUNCTIONS OF THE NEVANLINNA CLASS N

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Abstract. In this work necessary and sufficient conditions are given for a regular distribution in D' to be distribution generated by the boundary function of some function from the Nevanlinna class N .

1. Introduction

1.1. Denotations which will be used in the paper

Let \mathcal{U} denote the open unit disk in \mathbf{C} , i.e., $\mathcal{U} = \{z \in \mathbf{C} \mid |z| < 1\}$, $T = \partial\mathcal{U}$ and Π^+ denote the upper half-plane, i.e., $\Pi^+ = \{z \in \mathbf{C} \mid \text{Im } z > 0\}$. For a given function f which is analytic on some region Ω we will write $f \in H(\Omega)$.

For a function f , $f: \Omega \rightarrow \mathbf{C}^n$, $\Omega \subseteq \mathbf{R}^n$, $x \in \Omega$, $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n)$, $\alpha_j \in \mathbf{N} \cup \{0\}$, $D^\alpha f = D_x^\alpha f(x)$ denotes

$$D^\alpha f = \frac{\partial^{|\alpha|} f}{\partial x_1^{\alpha_1} \partial x_2^{\alpha_2} \dots \partial x_n^{\alpha_n}}, \quad |\alpha| = \alpha_1 + \alpha_2 + \dots + \alpha_n.$$

$L^p(\Omega)$ is the space of locally integrable functions on Ω , i.e., $f(x) \in L_{loc}^p(\Omega)$ if $f(x) \in L^p(\Omega')$, for every bounded subregion Ω' of Ω .

1.2. The Nevanlinna class N defined on \mathcal{U} and on Π^+ and some properties of N

The Nevanlinna class, $N(\mathcal{U})$, consists of all $f \in H(\mathcal{U})$ whose characteristic function

$$T(r, f) = \frac{1}{2\pi} \int_0^{2\pi} \log^+ |f(re^{i\theta})| d\theta$$

is bounded for $0 \leq r < 1$.

It is known [4] that a function $f \in H(\mathcal{U})$ belongs to the class $N(\mathcal{U})$ if and only if it is the quotient of two bounded analytic functions. It is also known [4] that for

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each function $f \in N(\mathcal{U})$ the nontangential limit $f^*(e^{i\theta})$ exists almost everywhere on T and $\log|f^*(e^{i\theta})|$ is integrable over T , unless $f \equiv 0$.

For a function $f \in H(\mathcal{U})$, $\log(1 + |f|)$ is subharmonic, so the integrals

$$L(r, f) = \frac{1}{2\pi} \int_0^{2\pi} \log(1 + |f(re^{i\theta})|) d\theta$$

increase with r . Thus the (possibly infinite) limit $\|f\| = \lim_{r \rightarrow 1^-} L(r, f)$ exists, and the inequalities

$$\log^+ x \leq \log(1 + x) \leq \log 2 + \log^+ x, \quad (x > 0)$$

show that f belongs to $N(\mathcal{U})$ if and only if $\|f\| < \infty$

In the case of the upper half-plane Π^+ , $N(\Pi^+)$ consists of all $f \in H(\Pi^+)$, for which

$$\sup_{0 < y < \infty} \int_{-\infty}^{+\infty} \log(1 + |f(x + iy)|) dx < \infty.$$

Note. From now on, we will write N instead of $N(\Pi^+)$.

1.3. Some notions of distributions

$C^\infty(\mathbf{R}^n)$ denotes the space of all complex valued infinitely differentiable functions on \mathbf{R}^n and $C_0^\infty(\mathbf{R}^n)$ denotes the subspace of $C^\infty(\mathbf{R}^n)$ that consists of those functions of $C^\infty(\mathbf{R}^n)$ which have compact support. Support of a continuous function f , denoted by $\text{supp}(f)$, is the closure of $\{x | f(x) \neq 0\}$ in \mathbf{R}^n .

$D = D(\mathbf{R}^n)$ denotes the space of $C_0^\infty(\mathbf{R}^n)$ functions in which convergence is defined in the following way: a sequence $\{\varphi_\lambda\}$ of functions $\varphi_\lambda \in D$ converges to $\varphi \in D$ in D as $\lambda \rightarrow \lambda_0$ if and only if there is a compact set $K \subset \mathbf{R}^n$ such that $\text{supp}(\varphi_\lambda) \subseteq K$ for each λ , $\text{supp}(\varphi) \subseteq K$ and for every n -tuple α of nonnegative integers the sequence $\{D_i^\alpha \varphi_\lambda(t)\}$ converges to $\{D_i^\alpha \varphi(t)\}$ uniformly on K as $\lambda \rightarrow \lambda_0$.

$D' = D'(\mathbf{R}^n)$ is the space of all continuous, linear functionals on D , where continuity means that $\varphi_\lambda \rightarrow \varphi$ in D as $\lambda \rightarrow \lambda_0$, implies $\langle T, \varphi_\lambda \rangle \rightarrow \langle T, \varphi \rangle$, as $\lambda \rightarrow \lambda_0$, $T \in D'$. D' is called the space of distributions.

Note. $\langle T, \varphi \rangle$ denotes the value of the functional T , when it acts on the function φ .

Let $\varphi \in D$ and let $f(x) \in L_{loc}^1(\mathbf{R}^n)$. Then the functional T_f from D to C , defined by:

$$\langle T_f, \varphi \rangle = \int_{\mathbf{R}^n} f(t)\varphi(t) dt, \quad \varphi \in D$$

is a distribution on D called regular distribution generated with f .

2. Main results

The idea for Theorem 1 and Theorem 2 comes from the following theorem, that is given in [7].

THEOREM. *Necessary and sufficient condition for a measurable function $\varphi(e^{i\theta})$, defined on T to coincide almost everywhere on T with boundary value $f^*(e^{i\theta})$ of some function $f(z)$ of the Nevanlinna class $N(U)$, is the existence of a sequence of polynomials $\{P_n(z)\}$ such that:*

- (i) $\{P_n(e^{i\theta})\}$ converges to $\varphi(e^{i\theta})$ almost everywhere on T ,
- (ii) $\overline{\lim}_{n \rightarrow \infty} \int_0^{2\pi} \log^+ |P_n(e^{i\theta})| d\theta < \infty$.

THEOREM 1. *Let T_{f^*} be the distribution in D' generated with the boundary value $f^*(x)$ of some function $f(z)$ from the space N . Then there exist a sequence of polynomials $\{P_n(z)\}$, $z \in \Pi^+$ and a respective sequence of distributions $\{T_n\}$, $T_n \in D'$ generated with the boundary values $P_n^*(x)$ of $P_n(z)$, satisfying ($T_n = T_{P_n^*}$):*

- (i) $T_n \rightarrow T_{f^*}$, $n \rightarrow \infty$ in D' ,
- (ii) $\overline{\lim}_{n \rightarrow \infty} \int_{-\infty}^{\infty} \log(1 + |P_n^*(x)|) |\varphi(x)| dx < \infty$, $\forall \varphi \in D$.

Proof. Let the conditions of the Theorem be satisfied. Since $f \in N$, it follows that $f \in H(\Pi^+)$ and there exists a constant $C > 0$, such that

$$\int_{-\infty}^{\infty} \log(1 + |f(x + iy)|) dx \leq C, \quad \text{for all } x + iy \in \Pi^+. \tag{1}$$

Let $\{y_n\}$ be a sequence of positive real numbers such that $\lim_{n \rightarrow \infty} y_n = 0$.

We consider the sequence of functions $\{F_n(z)\}$, defined by $F_n(z) = f(z + iy_n)$. Then $F_n(z)$ are analytic functions on $\Pi^+ \cup \mathbf{R}$. Using the theorem of Mergelyan we get that for a compact subset K of $\Pi^+ \cup \mathbf{R}$, whose complement is connected and for the function $F_n(z)$ there exists a polynomial $P_n(z)$, such that $|F_n(z) - P_n(z)| < \varepsilon_n$, for $z \in K$, where $\varepsilon_n > 0$ and $\varepsilon_n \rightarrow 0$ as $n \rightarrow \infty$. Now we will prove (i) and (ii).

Let $\varphi \in D$ and let $K \subset \mathbf{R}$ be a compact set that contains $\text{supp}(\varphi)$ and whose complement (in \mathbf{C}) is connected. (It is possible to be $K = \text{supp}(\varphi)$).

(i) We have:

$$\begin{aligned} |\langle T_n, \varphi \rangle - \langle T_{f^*}, \varphi \rangle| &= \left| \int_{-\infty}^{+\infty} P_n^*(x) \varphi(x) dx - \int_{-\infty}^{+\infty} f^*(x) \varphi(x) dx \right| = \\ &= \left| \int_{-\infty}^{+\infty} [P_n^*(x) - f^*(x)] \varphi(x) dx \right| \leq \int_K |P_n^*(x) - f^*(x)| |\varphi(x)| dx \stackrel{\varphi \in D \subset S}{\leq} \\ &\leq M \left(\int_K |P_n^*(x) - f^*(x)| dx \right) \leq M \varepsilon'_n m(K) \rightarrow 0 \quad \text{as } n \rightarrow \infty \end{aligned}$$

where $m(K)$ is the Lebesgue measure of the set K , M is positive real number and $\varepsilon'_n = \varepsilon_n + |f^*(x) - F_n(x)|$. Clearly, $\varepsilon'_n \rightarrow 0$ as $n \rightarrow \infty$. From the above computations we conclude that $\langle T_n, \varphi \rangle \rightarrow \langle T_{f^*}, \varphi \rangle$ as $n \rightarrow \infty$, for every $\varphi \in D$.

(ii)

$$\begin{aligned}
& \int_{-\infty}^{+\infty} \log(1 + |P_n^*(x)|) |\varphi(x)| dx \\
&= \int_{-\infty}^{+\infty} \log(1 + |P_n^*(x) - F_n(x) + F_n(x)|) |\varphi(x)| dx \\
&\leq \int_{-\infty}^{+\infty} \log(1 + |P_n^*(x) - F_n(x)| + |F_n(x)|) |\varphi(x)| dx \\
&= \int_K \log(1 + |F_n(x)| + |P_n^*(x) - F_n(x)|) |\varphi(x)| dx \\
&\leq \int_K [\log(1 + |F_n(x)|) + |P_n^*(x) - F_n(x)|] |\varphi(x)| dx \\
&= \int_K \log(1 + |F_n(x)|) |\varphi(x)| dx + \int_K |P_n^*(x) - F_n(x)| |\varphi(x)| dx \\
&\leq M \int_K \log(1 + |F_n(x)|) dx + M \int_K |P_n^*(x) - F_n(x)| dx \\
&\leq M \int_K \log(1 + |f(x + iy_n)|) dx + M \varepsilon_n m(K) \stackrel{(1)}{\leq} \\
&\leq MC + M \varepsilon_n m(K) \rightarrow M, \quad \text{as } n \rightarrow \infty.
\end{aligned}$$

In the proof of (ii) we used the inequality $|a + b| \leq |a| + |b|$, monotonicity of the function $\log x$ and the inequality $\log(1 + a + b) \leq \log(1 + a) + b$, for $a, b > 0$. ■

THEOREM. Let φ_0 be a locally integrable function on \mathbf{R} and T_{φ_0} be the distribution in D' generated by φ_0 . Let there exist a sequence of polynomials $P_n(z)$, $z \in \Pi^+$ such that the following conditions are satisfied:

(i) The sequence of distributions, generated by the boundary values $P_n^*(x)$ of $P_n(z)$ converges to T_{φ_0} in D' as $n \rightarrow \infty$.

(ii) $\overline{\lim}_{n \rightarrow \infty} \int_{-\infty}^{+\infty} \log(1 + |P_n(x + iy)|) |\varphi(x)| dx < \infty$, for all $x + iy \in \Pi^+$, $\varphi \in D$.

Then there exists a function $f \in H(\Pi^+)$, such that

$$\int_K \log(1 + |f(x + iy)|) dx < C < \infty, \quad \forall (x + iy) \in \Pi^+$$

for every compact subset K of \mathbf{R} and

$$\lim_{y \rightarrow 0^+} \int_{-\infty}^{+\infty} f(x + iy) \varphi(x) dx = \langle T_{\varphi_0}, \varphi \rangle, \quad \varphi \in D.$$

Proof. Let the conditions of the Theorem be satisfied. In [6] it is proven that the condition (i), i.e.

$$\lim_{n \rightarrow \infty} \int_{\mathbf{R}} P_n^*(x) \varphi(x) dx = \int_{\mathbf{R}} \varphi_0(x) \varphi(x) dx, \quad \varphi \in D,$$

implies

there exists a function $f \in H(\Pi^+)$, such that the sequence of polynomials $\{P_n(z)\}$ converges to $f(z)$ uniformly on compact subsets of Π^+ as $n \rightarrow \infty$. (2)

First we will prove that this analytic function f also satisfies

$$\int_K \log(1 + |f(x + iy)|) dx < C < \infty, \quad \forall(x + iy) \in \Pi^+$$

for every compact subset K of R .

In order to do that, we will use the second condition (ii), i.e.,

$$\overline{\lim}_{n \rightarrow \infty} \int_K \log(1 + |P_n(x + iy)|) |\varphi(x)| dx < C < \infty, \quad \forall(x + iy) \in \Pi^+, \quad \varphi \in D. \quad (3)$$

Let K be a compact subset of \mathbf{R} . Then there exists $\varphi(x) \in C_0^\infty(\mathbf{R})$, $\varphi(x) = 1$, $\forall x \in K$. Substituting $\varphi(x)$, chosen in this way, in (3), we get

$$\overline{\lim}_{n \rightarrow \infty} \int_K \log(1 + |P_n(x + iy)|) dx < C < \infty, \quad \forall(x + iy) \in \Pi^+. \quad (4)$$

Now,

$$\begin{aligned} \int_K \log(1 + |f(x + iy)|) dx &= \int_K \lim_{n \rightarrow \infty} \log(1 + |P_n(x + iy)|) dx \\ &\leq \overline{\lim}_{n \rightarrow \infty} \int_K \log(1 + |P_n(x + iy)|) dx \stackrel{(4)}{<} C < \infty, \end{aligned}$$

i.e. $\int_K \log(1 + |f(x + iy)|) dx < C < \infty$, for every compact subset K of \mathbf{R} and for every $x + iy \in \Pi^+$.

It remains to prove that

$$\lim_{y \rightarrow 0^+} \int_{-\infty}^{+\infty} f(x + iy) \varphi(x) dx = \langle T_{\varphi_0}, \varphi \rangle, \quad \varphi \in D. \quad (5)$$

Let $\varphi \in D$ and $\text{supp}(\varphi) = K \subset R$. Then

$$\begin{aligned} \lim_{y \rightarrow 0^+} \int_{\mathbf{R}} f(x + iy) \varphi(x) dx &\stackrel{(2)}{=} \lim_{y \rightarrow 0^+} \int_K \lim_{n \rightarrow \infty} P_n(x + iy) \varphi(x) dx \stackrel{u.c.}{=} \\ &= \lim_{y \rightarrow 0^+} \lim_{n \rightarrow \infty} \int_K P_n(x + iy) \varphi(x) dx = \lim_{n \rightarrow \infty} \lim_{y \rightarrow 0^+} \int_K P_n(x + iy) \varphi(x) dx = \\ &= \lim_{n \rightarrow \infty} \int_K P_n^*(x) \varphi(x) dx = \int_{\mathbf{R}} \varphi_0(x) \varphi(x) dx = \langle T_{\varphi_0}, \varphi \rangle, \quad \forall \varphi \in D. \end{aligned}$$

In the proof above, we used that

$$\lim_{y \rightarrow 0^+} \lim_{n \rightarrow \infty} \int_K P_n(x + iy) \varphi(x) dx = \lim_{n \rightarrow \infty} \lim_{y \rightarrow 0^+} \int_K P_n(x + iy) \varphi(x) dx. \quad (6)$$

We will show that (6) holds.

Let us consider the sequence $\{g_n(y)\}$, where

$$g_n(y) = \int_K P_n(x + iy) \varphi(x) dx, \quad x + iy \in K_1,$$

K_1 is any compact set in Π^+ whose elements $z \in K_1$ satisfy $\operatorname{Re} z \in K$. Since $\{P_n(x + iy)\}$ converges to $f(x + iy)$, uniformly on K_1 as $n \rightarrow \infty$, we have

$$\lim_{n \rightarrow \infty} g_n(y) = \lim_{n \rightarrow \infty} \int_K P_n(x + iy) \varphi(x) dx = \int_K f(x + iy) \varphi(x) dx = g(y),$$

i.e., the sequence $\{g_n(y)\}$ converges to $g(y)$, as $n \rightarrow \infty$. We will prove that the convergence is uniform.

$$\begin{aligned} 0 &\leq \sup_y |g_n(y) - g(y)| = \sup_y \left| \int_K P_n(x + iy) \varphi(x) dx - \int_K f(x + iy) \varphi(x) dx \right| \\ &= \sup_y \left| \int_K [P_n(x + iy) - f(x + iy)] \varphi(x) dx \right| \\ &\leq \sup_y \int_K |P_n(x + iy) - f(x + iy)| |\varphi(x)| dx \\ &\stackrel{\varphi \in DCS}{\leq} M \sup_y \int_K |P_n(x + iy) - f(x + iy)| dx. \end{aligned}$$

Since

$$\lim_{n \rightarrow \infty} \int_K |P_n(x + iy) - f(x + iy)| dx = 0,$$

we get that $\lim_{n \rightarrow \infty} \sup |g_n(y) - g(y)| = 0$.

So we have proved that $\{g_n(y)\}$ converges to $g(y)$ uniformly on K_1 , as $n \rightarrow \infty$, which implies (6). This concludes the proof of (5) and of Theorem 2. ■

COMMENT. This work is a continuation of [6], where two similar theorems were proved in the spaces H^p , $1 \leq p < \infty$.

Similar theorems can be given in the Smirnov space.

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